

Corporate Governance and Audit Committee

Draft 2015/16 work programme

Subject	Methodology	Lead Officer
30 June 2015		
Audit and certification fees letter 2015/16	Report	EY
Audit Progress Report	Report	EY
Draft Statement of Accounts 2014/15	Officer report	John Ward
Annual report on Partnerships (consider alongside report on Partnerships in following report)	Officer report	Amy Loaring
Corporate Governance report to Full Council 2014/15 App 1 CGAC report to Full Council; App 2 Annual Governance Statement; App 3 Report on Partnerships App 4 Effectiveness of Internal Audit section	Officer report	Steve James
Carry forward requests	Officer report	David Cooper
S106 Annual Monitoring report	Officer report	Lone Le Vay
Protocol on Investment Opportunities Reserve	Officer report	Jane Hotchkiss
Fraud detection – request by CGAC Jan 2015 agreed	Officer report	John Ward
Report on potential liabilities of outstanding litigation	Officer report	Nicola Golding
Internal audit - individual reports and audit plan progress 2014/15 and new audit plan 2015/16	Officer report	Stephen James
29 September 2015		
Audit Results Report 2014/15	Report	EY
Audited statement of accounts 2014/15	Officer report	J Ward /H Belenger
Formal complaints, FOI requests and Subject Access Requests analysis 2014/15	Officer report	Jane Dodsworth
Strategic & Operational Risks 2014/15 – report back from TFG (date to be set early Sept)	Officer report	Helen Belenger
Internal audit - individual reports and audit plan progress	Officer report	Stephen James
24 November 2015		
Annual Audit Letter 2014-15	Report	EY
Audit Progress Report	Report	EY
Financial Strategy & Plan	Officer report	John Ward
Revised Treasury Management Strategy 2016/17	Officer report	Helen Belenger

Subject	Methodology	Lead Officer
S106 exceptions report	Officer report	Lone le Vay
Whistle blowing policy – CGAC request Jan 2015	Officer report	Tim Radcliffe
Business Continuity – update on progress	Officer report	Warren Townsend
Internal audit - individual reports and audit plan progress	Officer report	Stephen James
19 January 2016		
Certification of claims and returns annual report 2014/15	Report	EY
Audit Progress Report	Report	EY
Budget TFG – report back by members of the group	Officer report	John Ward/ TFG members
Internal audit - individual reports and audit plan progress	Officer report	Stephen James
22 March 2016		
Audit Plan 2015-16	Report	EY
CGAC work programme 2016/17	Officer report	Bambi Jones
Accounting Policies	Officer report	Helen Belenger
Fraud Prevention	Officer report	Stephen James
Strategic & Operational Risks - 2015/16 mid-year report ? (date to be set in early March)	Officer report	Helen Belenger
Internal audit - individual reports and audit plan progress	Officer report	Stephen James

Reports sent to CGAC members for information:

- Audit scopes – emailed out to members
- Audits where recommendations are low risk – medium and high risk audits included on agenda year
- Treasury Management monthly reports – emailed to members
- Property Investment performance monthly reports – emailed to members

Annual reports uploaded to Members' Bulletin Board for information:

- Health & Safety annual report – September
- Employment Statistics annual report – November
- Energy use in buildings and vehicles annual report – March